

# HPFL: FEDERATED LEARNING BY FUSING MULTIPLE SENSOR MODALITIES WITH HETEROGENEOUS PRIVACY SENSITIVITY LEVELS

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# Outlines

- Introduction
- Related Work
- Heterogeneous Privacy Federated Learning
- Multi-Modal Representation Learning
- Experiment Setup
- Experiment Results
- Conclusion and Future Work

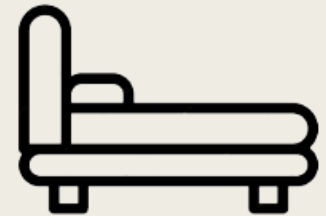
# INTRODUCTION

# Multi-modal Sensing

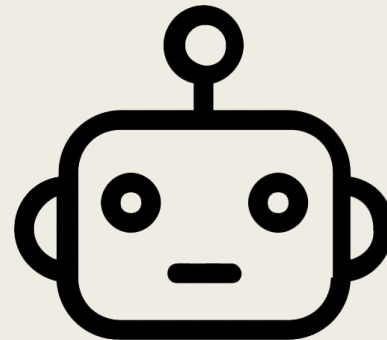
- A variety of professional sensors are gradually attracting attention
  - *Depth cameras*
  - *Thermal cameras*
  - *mmWave radars*
  - *LiDARs*
- Provides multi dimensions information than single modal sensing



Self-driving cars



Health cares

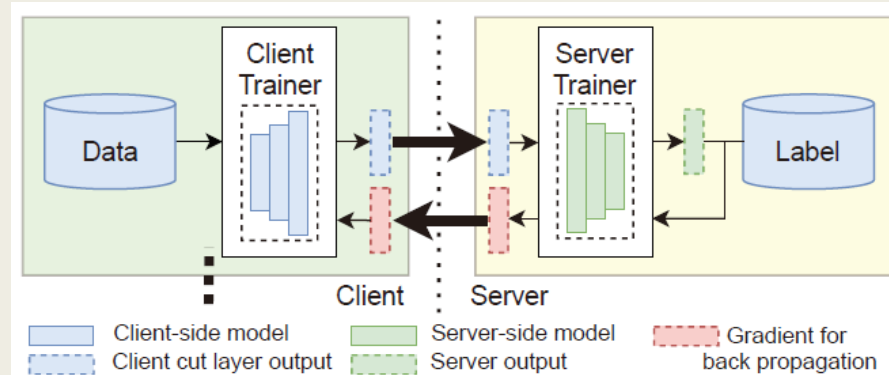


Robot systems



Smart agricultures

# Privacy Concern



- Collecting data from widely used **RGB cameras** incurs privacy risk
  - *Encryption methods*
  - *Distributed Cooperative Machine Learning (DCML) [1]*
- Homomorphic encryption and differential privacy
  - *Advanced privacy protection techniques*
  - *High computation and communication overhead*
- Split Learning (SL [2]) and Federated Learning (FL [3])
  - *SL and FL provide **source data protection** DCML*
  - *SL is slower than FL*
  - *SL usually works under organizations (data providers, computing resource providers)*

[1] Scaling up machine learning: Parallel and distributed approaches. Cambridge University Press, 2011.

[2] Vepakomma P, Gupta O, Swedish T, et al. Split learning for health: Distributed deep learning without sharing raw patient data[J]. arXiv preprint arXiv:1812.00564, 2018.

[3] McMahan B, Moore E, Ramage D, et al. Communication-efficient learning of deep networks from decentralized data[C]//Artificial intelligence and statistics. PMLR, 2017: 1273-1282.

# Federated Learning

- Federated learning [1] workflow (FedAvg)

- *Distribute server model*
- *Client training*
- *Upload client model*
- *Aggregation*

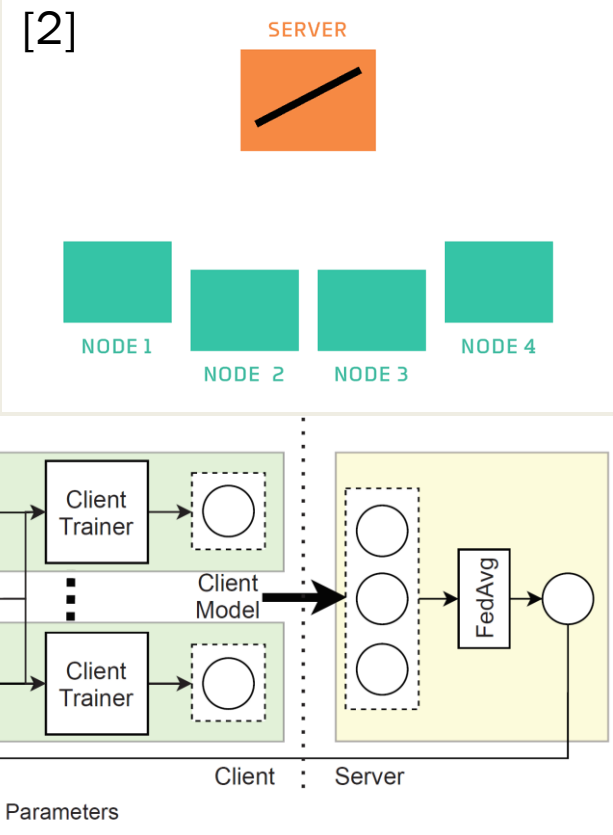
- Advantage: Protects client's **privacy** and reduces communication cost

- Disadvantage: low model performance and convergence speed caused by **data incompleteness**

- *Non-independent-identically distributed (non-i.i.d.): concept drift/shift, covariate shift*

[1] McMahan B, Moore E, Ramage D, et al. Communication-efficient learning of deep networks from decentralized data[C]//Artificial intelligence and statistics. PMLR, 2017: 1273-1282.

[2] [http://vision.cloudera.com/wp-content/uploads/2018/11/2018-10-31-181344-federated\\_learning\\_animated\\_labeled.gif](http://vision.cloudera.com/wp-content/uploads/2018/11/2018-10-31-181344-federated_learning_animated_labeled.gif)

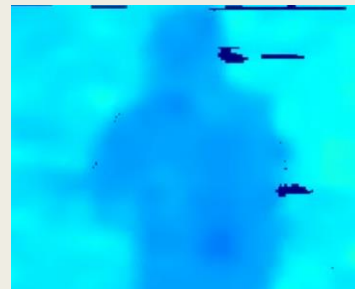


# Motivation

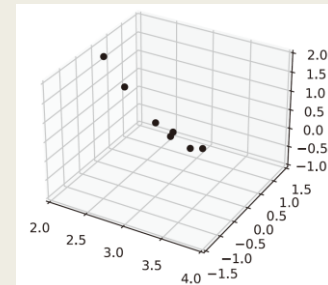
- Multiple sensors generate data that has **diverse degrees of privacy concerns**
  - *RGB images* → *privacy-sensitive*
  - *Depth images, mmWave point clouds* → *privacy-insensitive*
- The root cause of the performance degradation of FL models: **data incompleteness**
  - *Scattered data*
  - *Non-i.i.d. data*
- **The utilization of privacy-insensitive data has never been considered**



RGB image



Depth image



mmWave point cloud

# Problem Statement

## ■ Target

- Utilize the *privacy-insensitive* data to improve FL model performance
- Reduce the impact of non-i.i.d. data on the model

## ■ Condition

- Each clients has a multi-modal dataset with *heterogeneous degrees of privacy levels*
- No obviously privacy risk
- Lower communication and computation overhead

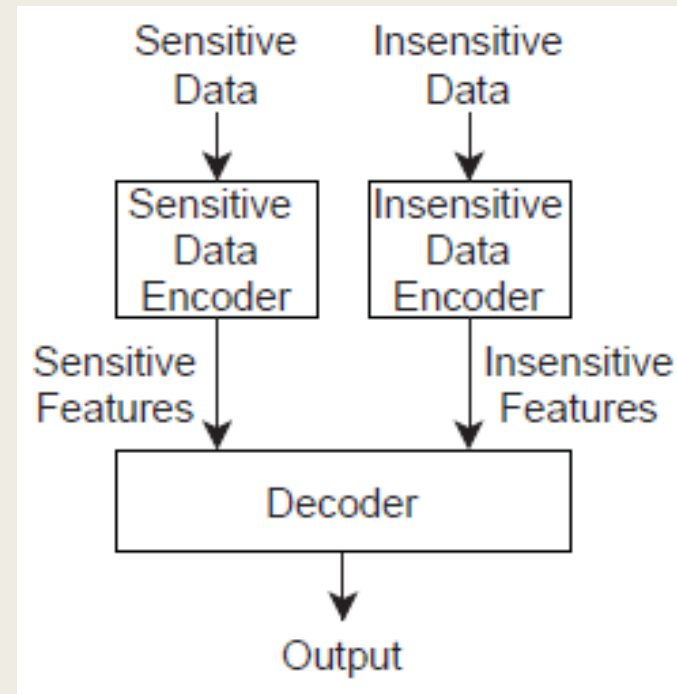
## ■ Solution

- Request all clients upload privacy-insensitive data to the server
- Add an additional model fine-tuning at the server



# Challenges

- Utilization of **insensitive data** for improving model performance
  - *No similar work in the literature*
  - *Multi-modal models require **multi type data** as inputs*
  - *Privacy-sensitive data are not available at the server*
- Training with single insensitive data can bias the models

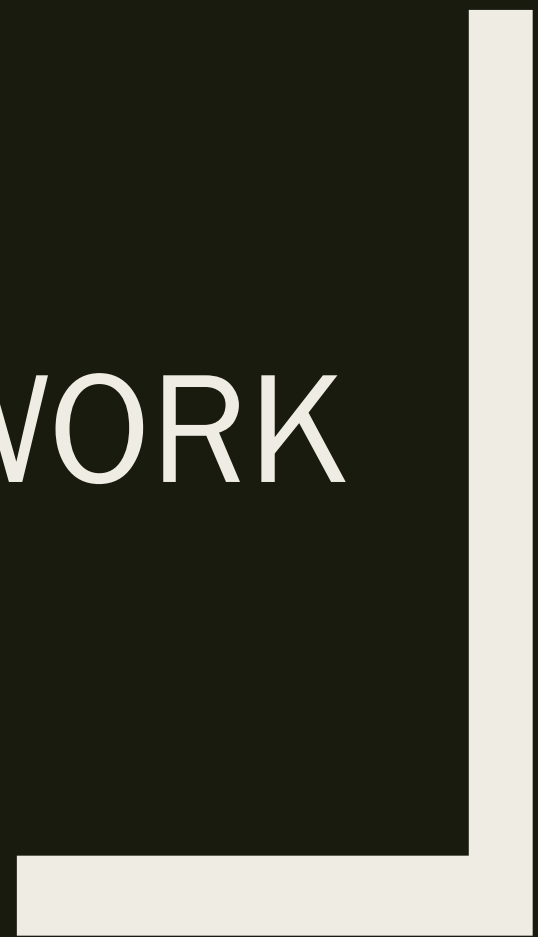


An example multi-modal model

# Contributions

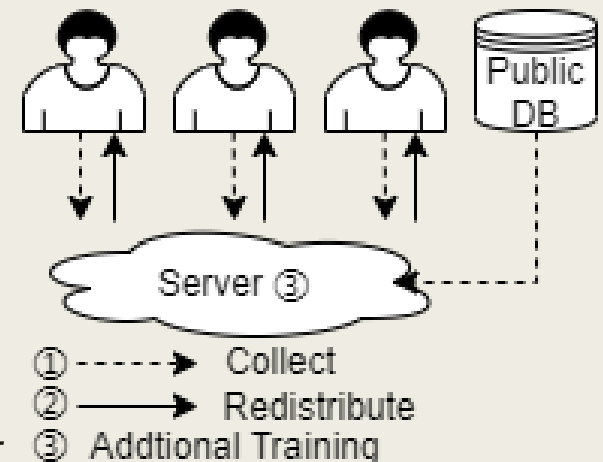
- We are the **first group** who considered multi-sensor (or multi-modal) classification problems, in which sensor data have **diverse privacy sensitivity levels**
- We apply HPFL on a **semantic segmentation** network, an **emotion recognition** network, and get **18.2%** improvement in foreground accuracy and **4.2%** in F1-score, compared to FedAvg
- HPFL outperforms state-of-the-art advanced FL optimization algorithms, **FedProx**, **FedAdam**, **FedDyn**, **FedCon**, **12.4%-17.7%** improvement in foreground accuracy and **2.54%-4.1%** in F1-scores

# RELATED WORK



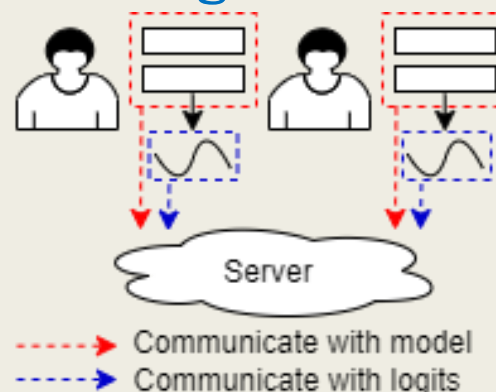
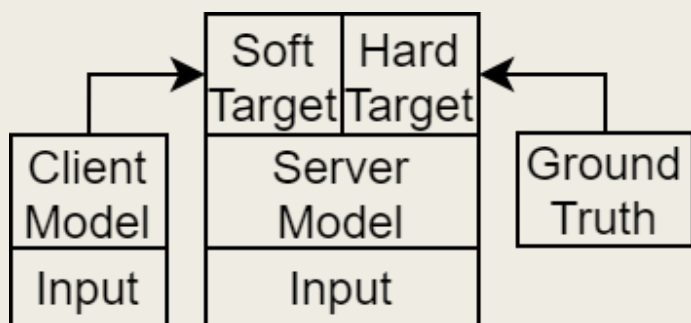
# Data Sharing

- To overcome the inference of non-iid data distribution
- Redistribute the data that collect from clients or public dataset to **balance local data** (①, ②)
- Employ the collected data to carry out **additional training** after the client training (①, ③)
- Summary
  - Not realize the **heterogeneous privacy** sensitivity levels data
  - Still have **privacy concern**
  - Unable to confront strong non-iid degree, performance improvement is small (2%~5%)



# Distillation and Federated Distillation

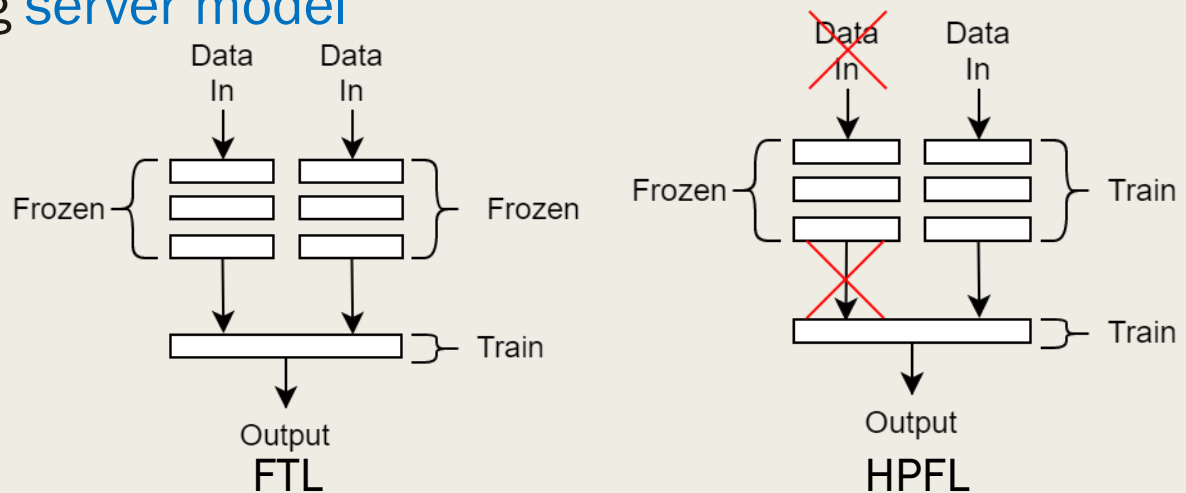
- Distillation was initially proposed to compress neural networks
- Federated distillation was proposed for two targets:
  - Trade model accuracy for *lower communication cost*
  - Server-side distillation for *better server model performance and compatible heterogeneous client model structure*



- We only collect insensitive data to server, so, we cannot perform the **offline distillation** at server

# Federated Transfer Learning

- Transfer learning focuses on **transferring** a domain **knowledge** to a **different but similar domain**
- FTL belongs to personalization FL
  - *The server model may not adapt to every participating client*
- FTL freezes the parameters related to **high-level features**, but HPFL freezes the parameters related to **sensitive data**
- FTL focuses on optimizing **client model** but HPFL focuses on optimizing **server model**



# Advanced FL Algorithms

- Common target: improve server model performance in FL
- Optimize client trainer:
  - *FedProx [1]: add a **L2-regularize term** on client trainer → reduce the distance between client and server model*
  - *FedDyn [2]: consider **history updates** and distance to server model → smooth updating*
  - *FedCon [3]: consider the **feature** learned by client model and server model need to be **similar** → fast converge*
- Optimize server aggregator:
  - *FedAdam [4]: use **Adam optimizer** to replace average aggregator in FedAvg → fast converge*

[1] Sahu A K, Li T, Sanjabi M, et al. On the convergence of federated optimization in heterogeneous networks[J]. arXiv preprint arXiv:1812.06127, 2018, 3: 3.

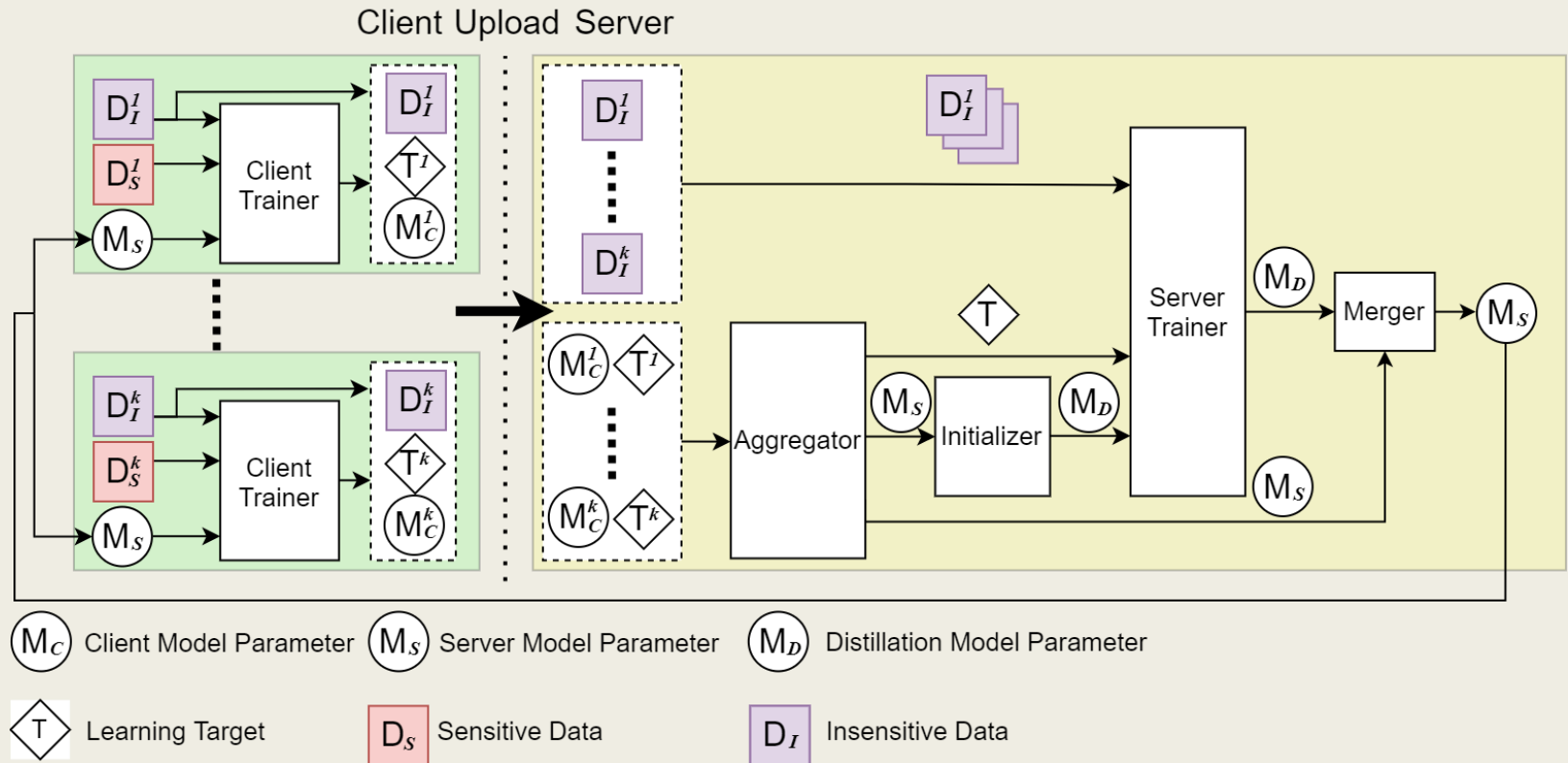
[2] Acar D A E, Zhao Y, Navarro R M, et al. Federated learning based on dynamic regularization[J]. arXiv preprint arXiv:2111.04263, 2021.

[3] Li Q, He B, Song D. Model-contrastive federated learning[C]//Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 2021: 10713-10722. [4] Reddi S, Charles Z, Zaheer M, et al. Adaptive federated optimization[J]. arXiv preprint arXiv:2003.00295, 2020.

# HETEROGENEOUS PRIVACY FEDERATED LEARNING

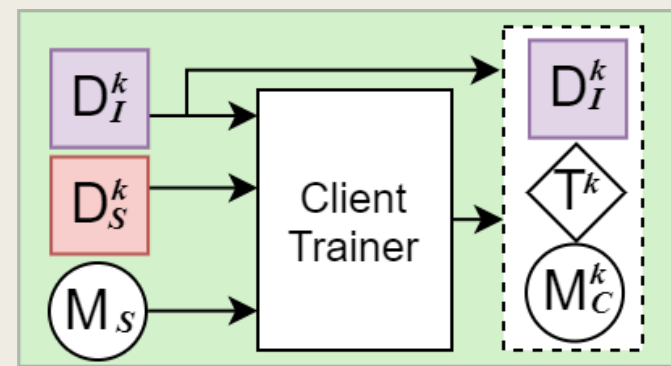


# HPFL Workflow



**Client model:** used by the clients to train with locally collected sensor data  
**Server model:** aggregated from models sent by all clients  
**Distillation model:** trained by insensitive data from all clients at the server

# Client Side Design



## ■ Target

- Better utilize sensor data with different privacy levels in federated learning

## ■ Methodology (for each client $k$ in $K$ )

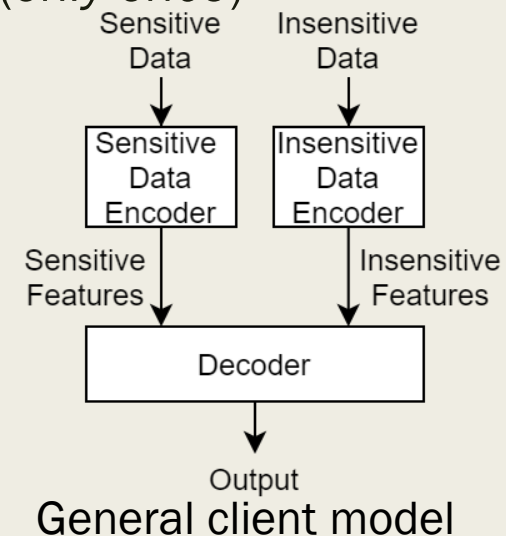
① - Classify the local sensor data ( $D^k$ ) into sensitive ( $S$ ) and insensitive ( $I$ ) ones ( $D^k = D_S^k + D_I^k$ )

② - Upload the insensitive data ( $D_I^k$ ) to the server (only once)

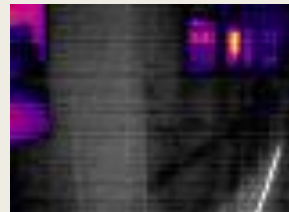
③ - Train a client model ( $M_C^k$ ) with all locally available sensor data

$$M_{C,t+1}^k = \underset{M_{C,t}^k}{\operatorname{argmin}} L_k(D^k, Y^k | M_{C,t}^k)$$

④ - Upload trained model and learning target ( $T^k$ )

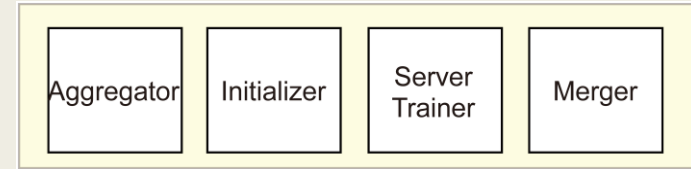


Sensitive data  
(RGB)



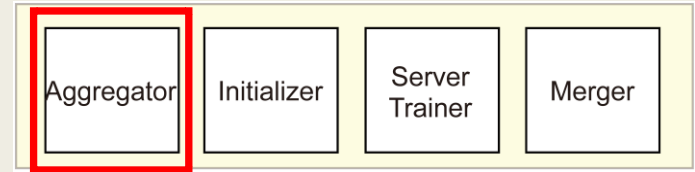
Insensitive data  
(Thermal)

# Server Side Design



- Better utilize the **insensitive data** to reduce the negative impacts caused by non-i.i.d. sample distribution
- The complete model needs sensitive and insensitive data as input, but **no sensitive data** is available
- Methodology
  - *Aggregator: aggregate (e.x. FedAvg) the **model** and **learning target** that received from the client*
  - *Initializer: initialize the **distillation model** parameters*
  - *Server Trainer: optimize the distillation model with **insensitive data** and **learning target***
  - *Merger: merge **distillation model** and **server model** for next round*

# Aggregator

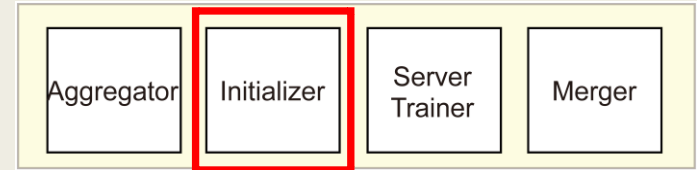


- Compute a **server model** and **average learning target** based on all client model and learning target
- The default aggregator is FedAvg

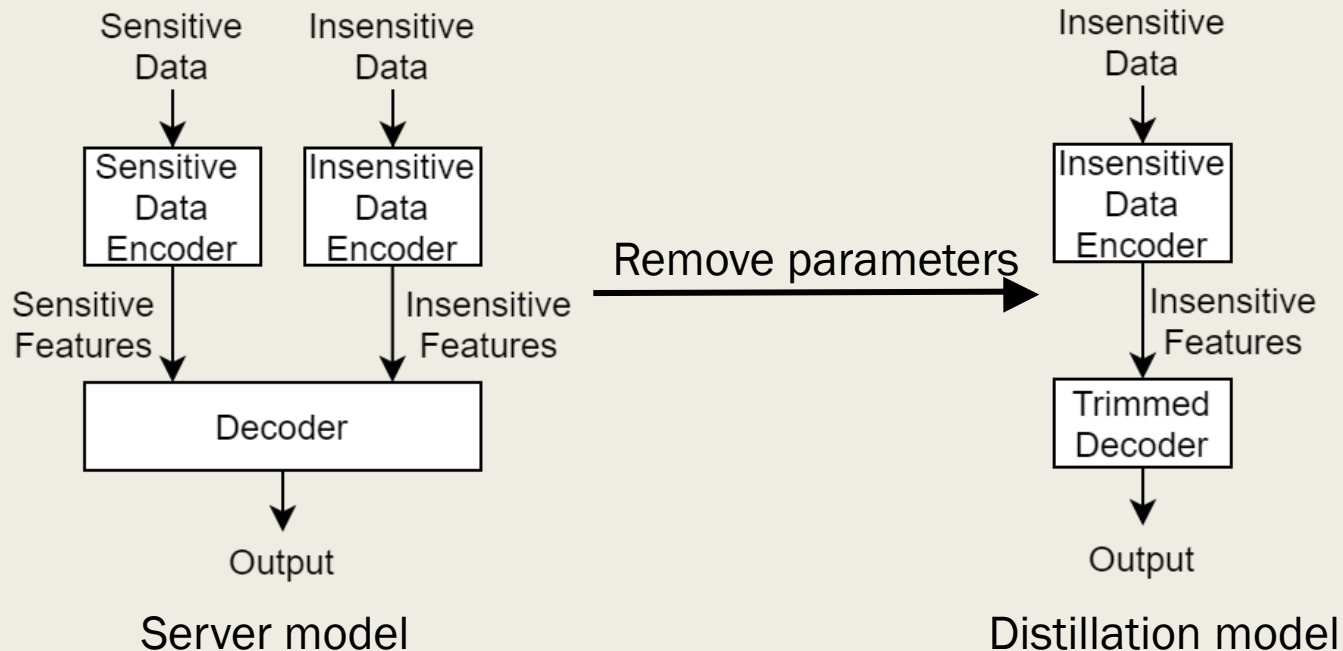
$$\mathbf{M}_{S,t} = \frac{1}{K} \sum_{k=1}^K \mathbf{M}_{C,t+1}^k, \quad \mathbf{T}_t = \frac{1}{K} \sum_{k=1}^K \mathbf{T}_t^k.$$

- HPFL can generalize for advanced aggregator

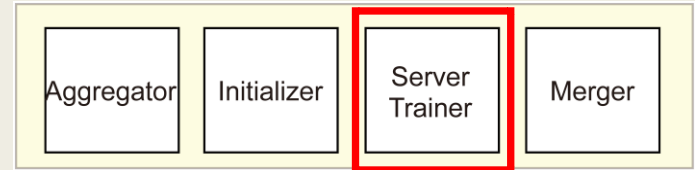
# Initializer



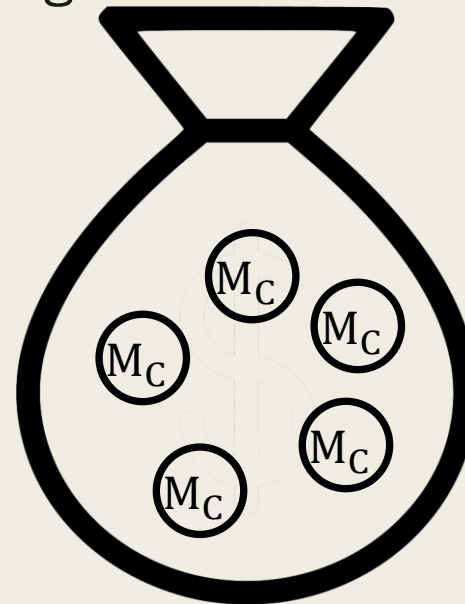
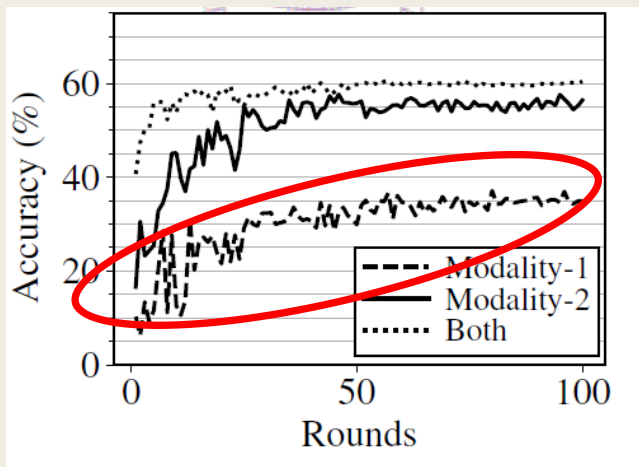
- Target
  - Generate the *distillation model* from *server model* for training with insensitive data
- Remove the model parameters *relevant to sensitive data*



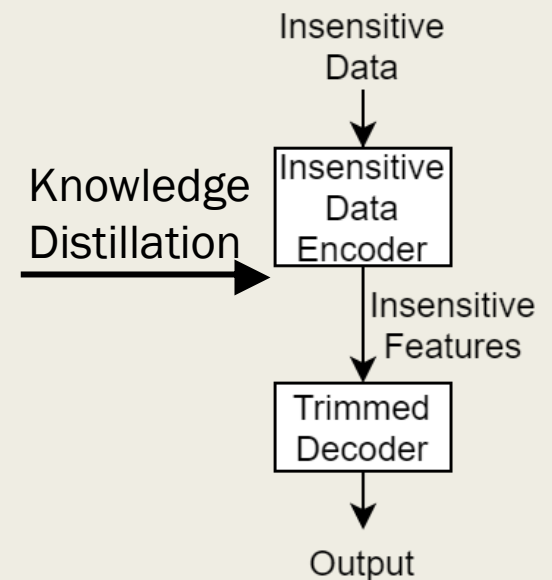
# Baseline Trainer



- Baseline algorithm (HP): server trainer trains distillation model with insensitive data
- Train multi-modal model with single modality data causes performance drop
- Distillate the knowledge from client models to distillation model

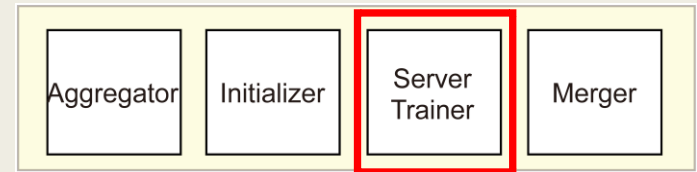


Client models



Distillation model

# Learning Target

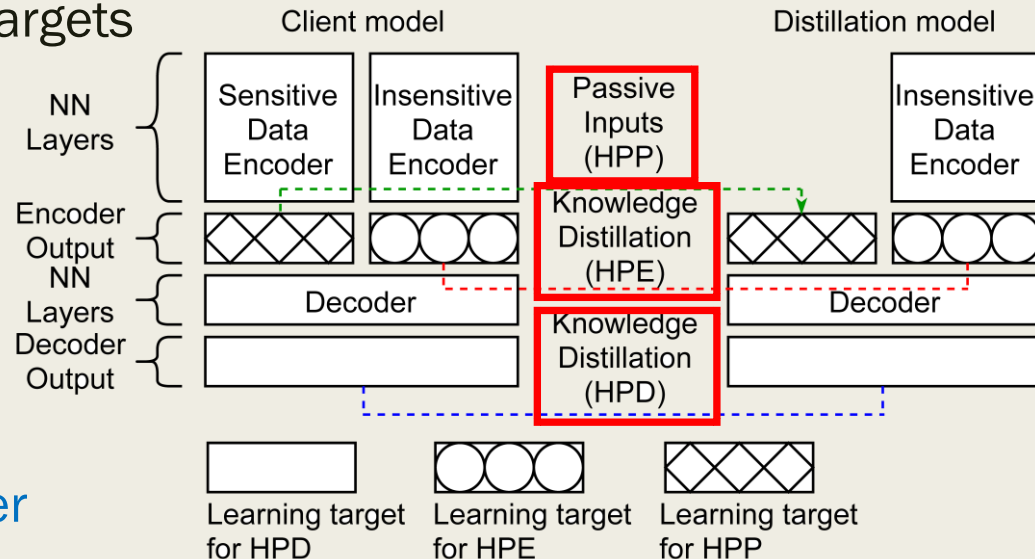


- Improved federated distillation:
  - *The server only has insensitive data and cannot perform regular distillation*
  - *We propose to have clients upload some **layer outputs** as learning target to guild the server for **distillation***

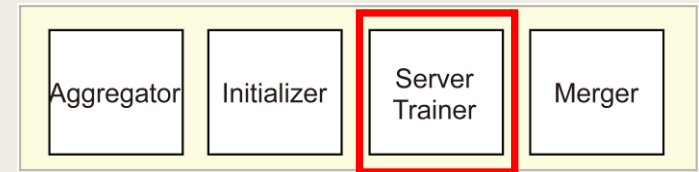
■ Decoder Distillation (HPD) uses the outputs of the last **decoder layer** as learning targets

■ Encoder Distillation (HPE) uses the outputs of all last **insensitive data encoder layer** as learning targets

■ Passive inputs: (HPP) uses the outputs of all last **sensitive data encoder layer** as learning targets.



# Server Trainer



- Train the **distillation model** with **insensitive data** and **learning target**

- Server loss 
$$\mathbf{M}_{D,t+1} = \underset{\mathbf{M}_{D,t}}{\operatorname{argmin}} L_S(\mathbf{D}_I, Y, T_t | \mathbf{M}_{D,t})$$

- *Label loss ( $L_G$ ): the loss between the distillation model **prediction** and **ground truth***
- *KD loss ( $L_D$ ): the loss between the distillation model **middle layer output** and **learning targets***

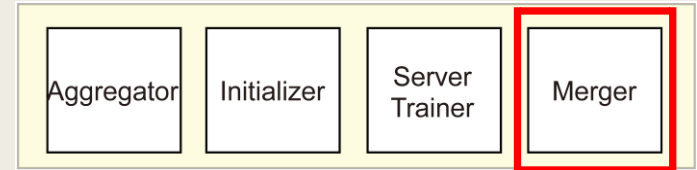
- Overall server loss is a **weighted sum** of distillation and label loss, where parameter  $\lambda$  is the weight

$$L_S = \lambda L_G + (1 - \lambda) L_D$$

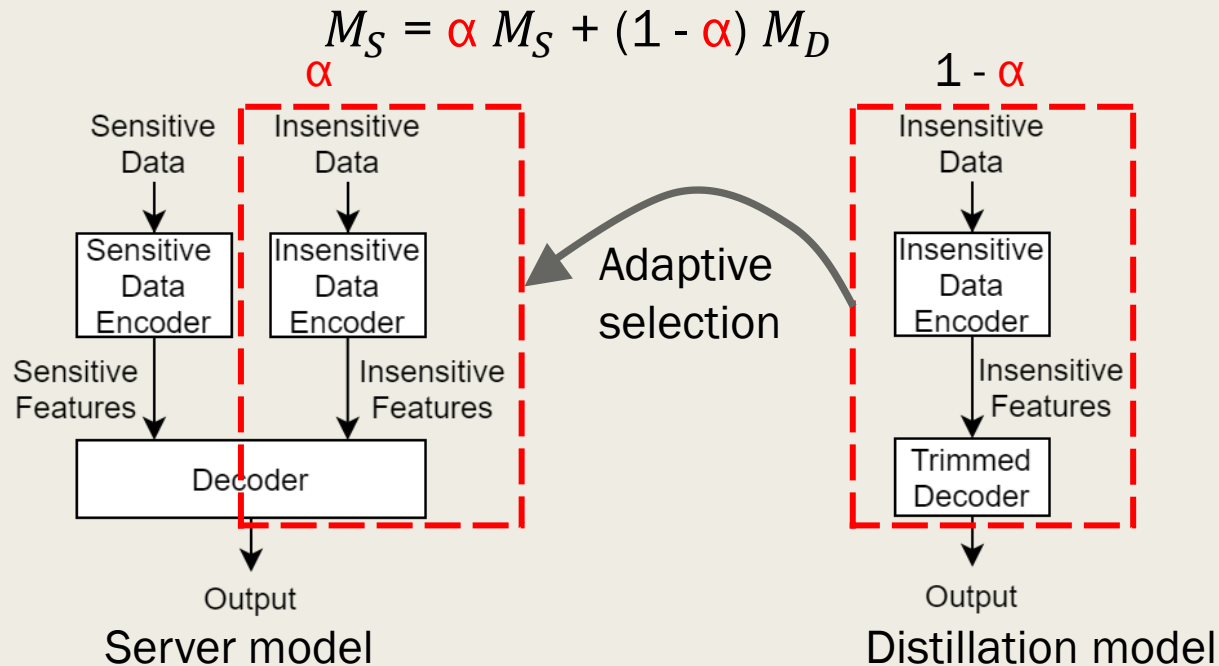
- The choice of  $\lambda$  makes the effect of  $L_G$  and  $L_D$  on the model closer



# Merger



- Clients need a complete multi-modal model for next round training
- Merge the trained **distillation model** back to the **server model**
- The **merged part** depends on different application models
- The **contribution** of the distillation model to the server model is controlled by parameter  $\alpha$



# MULTI-MODAL REPRESENTATION LEARNING

# Multi-modal Representation Learning

- The HPFL can be applied to many machine learning tasks
- MMRL [1] has become a hot topic due to the growth of **multiple sensors** and data
  - *Video classification*
  - *Emotion recognition*
  - *Activity detection*
  - *Sentiment analysis*
  - *Semantic segmentation*
- We choose the emotion recognition and semantic segmentation as sample applications

[1] Zhang C, Yang Z, He X, et al. Multimodal intelligence: Representation learning, information fusion, and applications. IEEE Journal of Selected Topics in Signal Processing, 2020, 14(3): 478-493.

# Semantic Segmentation



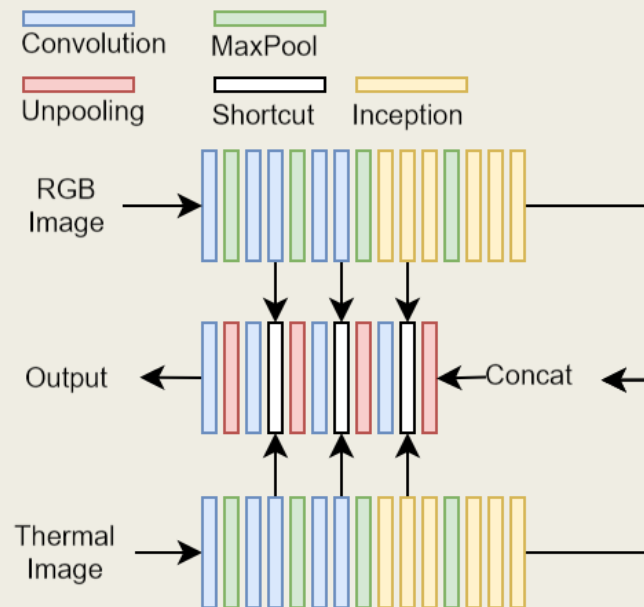
- Labels each pixel of an image with one or multiple classes
- We choose MFNet [1] as a semantic segmentation task example
- We consider RGB image as sensitive data, thermal image as insensitive data



RGB input

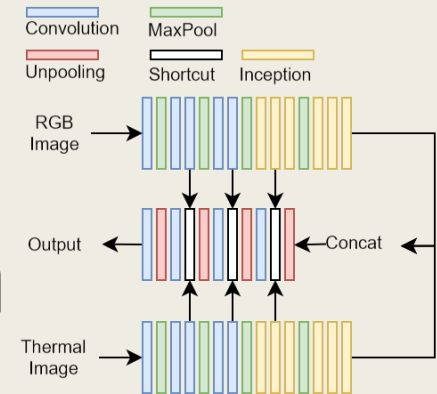


Thermal input

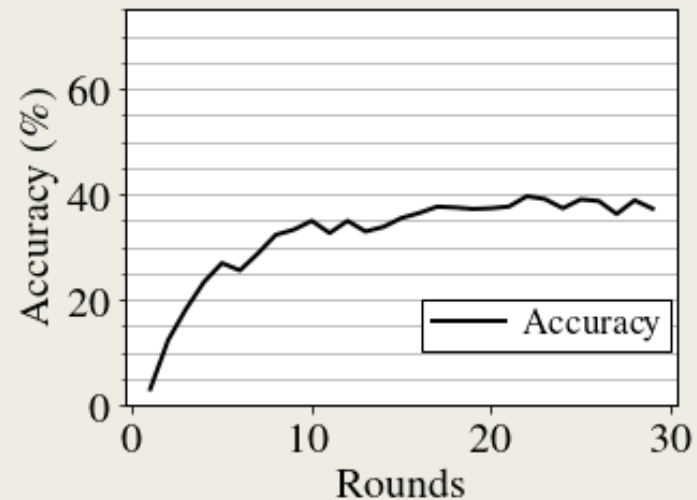
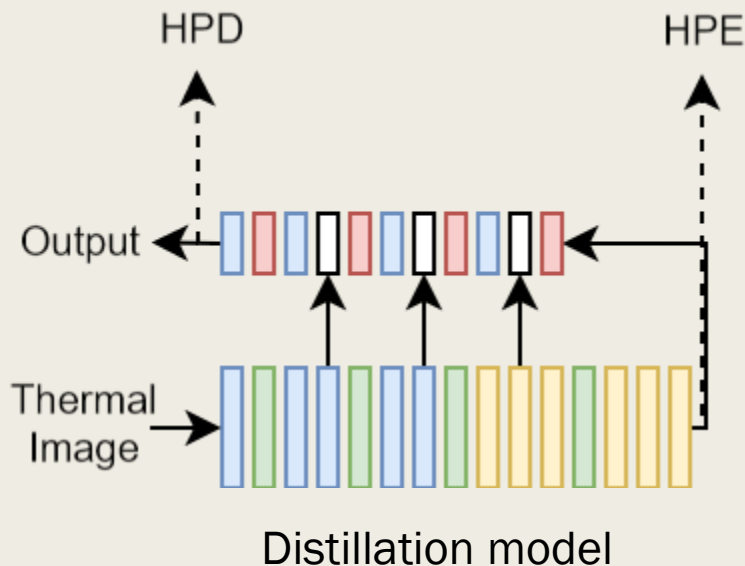


[1] Ha Q, Watanabe K, Karasawa T, et al. MFNet: Towards real-time semantic segmentation for autonomous vehicles with multi-spectral scenes[C]//2017 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS). IEEE, 2017: 5108-5115.

# Distillation Model for Semantic Segmentation



- We remove the encoder of RGB image input (**sensitive data encoder**)
- We put the work point of **HPD** and **HPE** in distillation model
- HPP does not work well with MFNet



Results of HPP

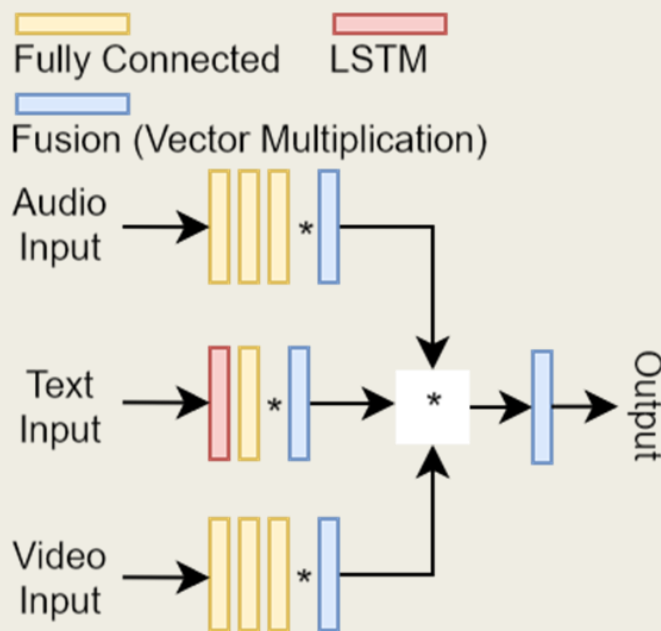
# Emotion Recognition

- We choose LMF [1] as a emotion recognition task example
- We consider **video** and **text** as **sensitive** data, **audio** as **insensitive** data
- Data provided by IEMOCAP [2] dataset are preprocessed for features



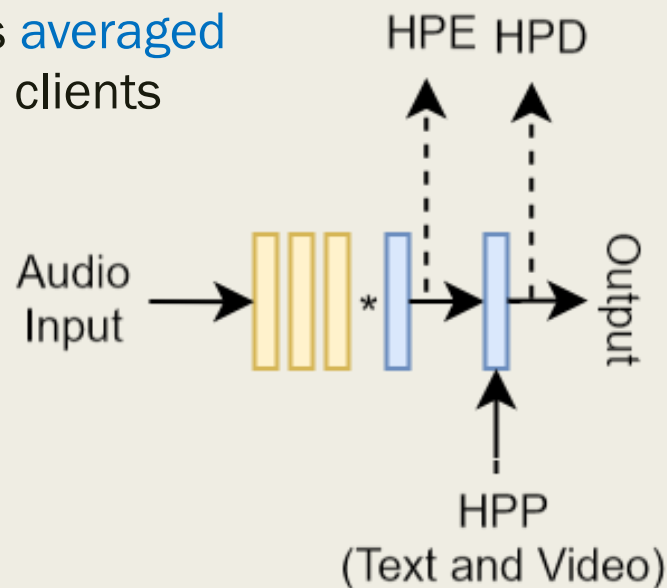
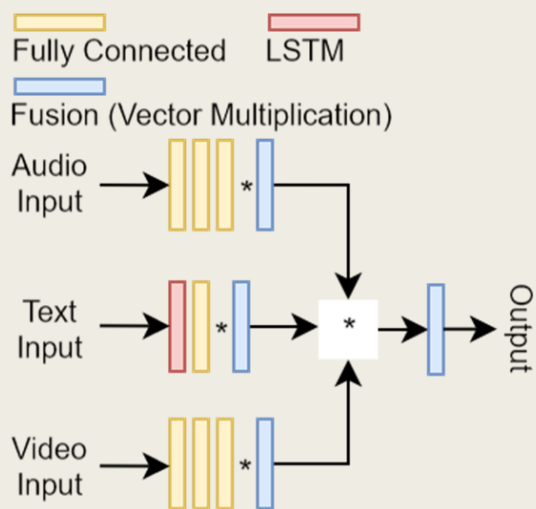
[1] Liu Z, Shen Y, Lakshminarasimhan V B, et al. Efficient low-rank multimodal fusion with modality-specific factors[J]. arXiv preprint arXiv:1806.00064, 2018.

[2] Busso C, Bulut M, Lee C C, et al. IEMOCAP: Interactive emotional dyadic motion capture database[J]. Language resources and evaluation, 2008, 42(4): 335-359.

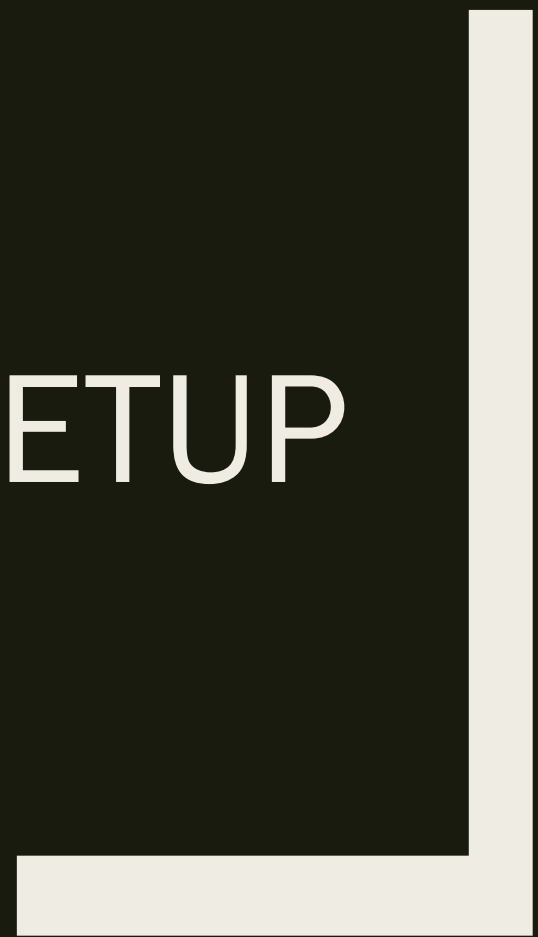


# Distillation Model for Emotion Recognition

- We remove the encoder of text and video input ([sensitive data encoder](#))
- We put the work point of [HPD](#) and [HPE](#) in distillation model
- The learning target of HPP contains [averaged text and video encoder](#) output from clients



# EXPERIMENT SETUP





# Benchmarking Algorithms

- FedAvg [1]: the earliest federated learning algorithm
- FedProx [2]: optimize client trainer by proximal term
- FedAdam [3]: add an Adam optimizer on aggregator
- FedDyn [4]: optimize client trainer by linear and quadratic penalty
- FedCon [5]: optimizes the client trainer to decrease the distance between representation learned from client model and server model

[1] McMahan B, Moore E, Ramage D, et al. Communication-efficient learning of deep networks from decentralized data[C]//Artificial intelligence and statistics. PMLR, 2017: 1273-1282.

[2] Sahu A K, Li T, Sanjabi M, et al. On the convergence of federated optimization in heterogeneous networks[J]. arXiv preprint arXiv:1812.06127, 2018, 3: 3.

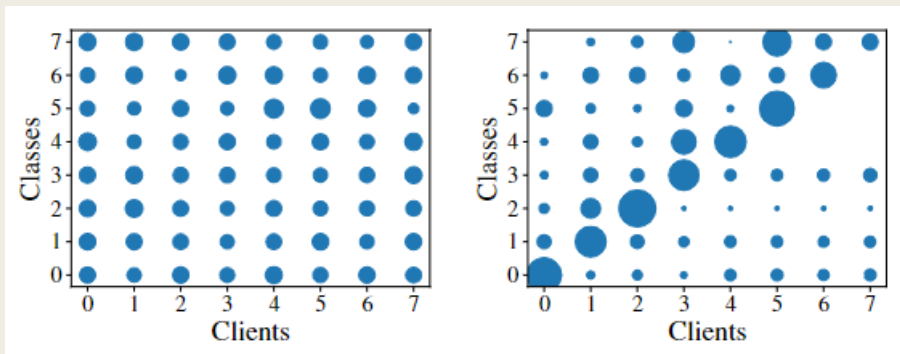
[3] Acar D A E, Zhao Y, Navarro R M, et al. Federated learning based on dynamic regularization[J]. arXiv preprint arXiv:2111.04263, 2021.

[4] Li Q, He B, Song D. Model-contrastive federated learning[C]//Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 2021: 10713-10722.

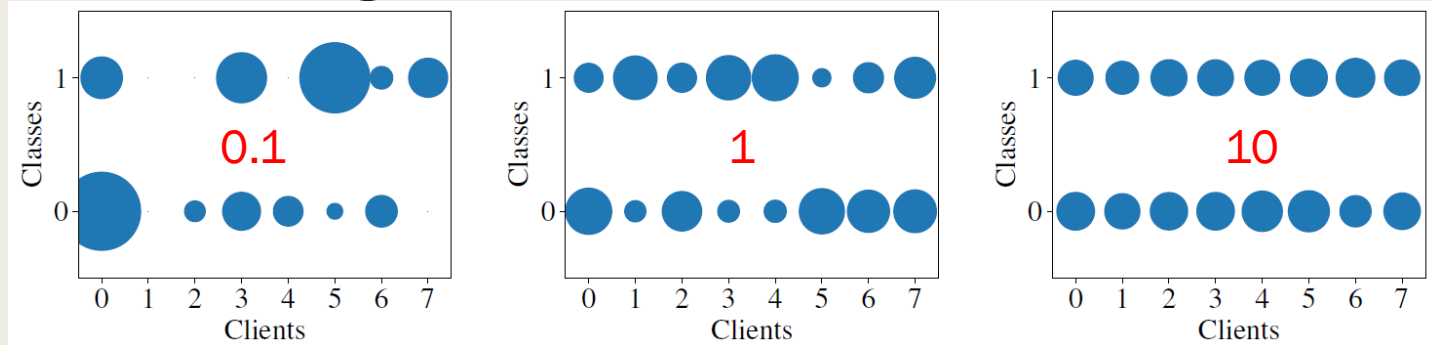
[5] Reddi S, Charles Z, Zaheer M, et al. Adaptive federated optimization[J]. arXiv preprint arXiv:2003.00295, 2020.

# Dataset and Data Distribution

- MFNet: totally 1600 and 300 pairs of RGB and thermal images in the training and testing sets



- LMF: totally 3515 and 938 triplets of video, audio, and text data in the training and testing sets
- We utilize the Dirichlet distribution to generate three sample distribution degrees



# Parameters

- Distillation model contribution:  $\alpha \in \{0.0, 0.1, 0.3, \underline{0.5}, 0.7\}$
- Loss balance parameter:  $\lambda \in \{0.05, \underline{0.1}, 0.2\}$
- Distillation method  $\in \{\text{HP}, \text{HPD}, \underline{\text{HPE}}, \text{HPP}^*\}$
- Data distribution  $\in \{\text{i.i.d.}, \underline{\text{non-i.i.d.}}\}$  or  $\in \{0.1, \underline{1}, 10\}$ .
- Number of clients  $\in \{\underline{8}, 16, 32\}$
- Neural network parameters and baseline algorithm parameters have been tuned and fixed
- The merge part selection experiments were omitted

\* HPP only works on emotion recognition problem

# Metrics

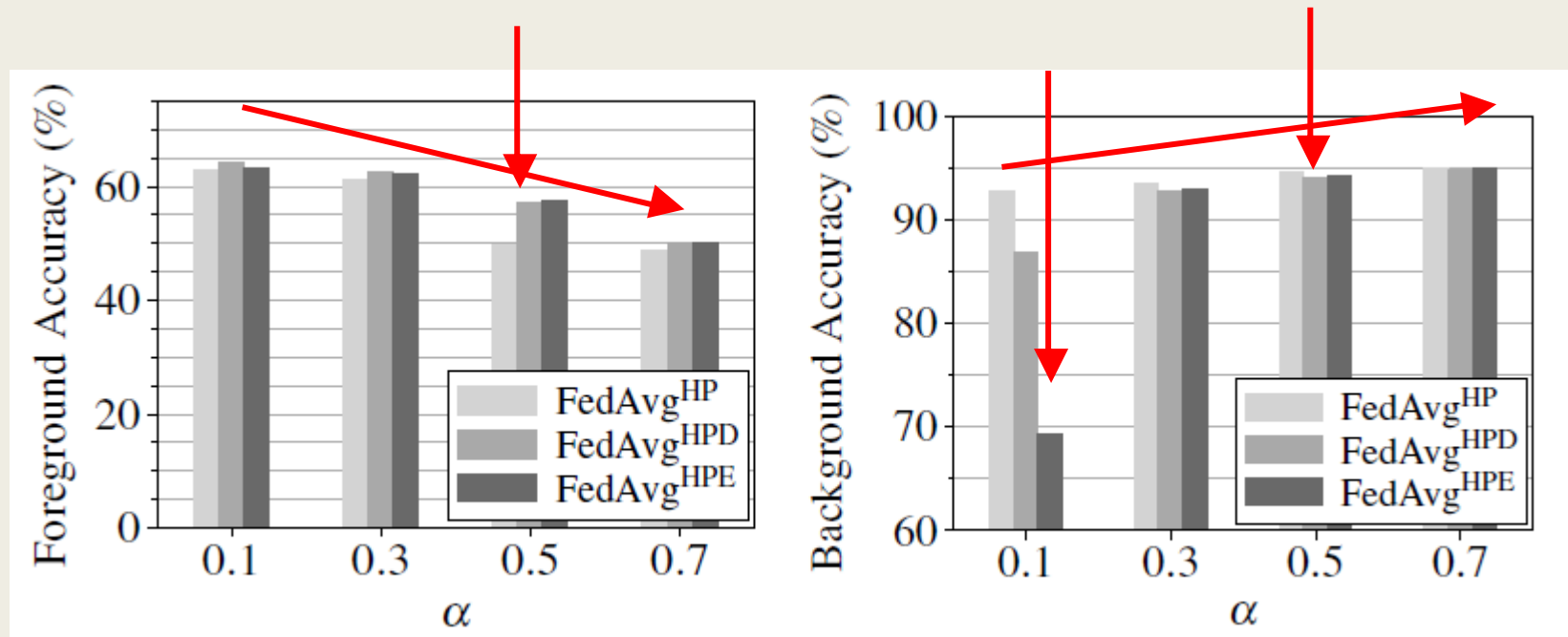
- Background accuracy: The ratio of **background pixels** that are correctly classified in the semantic segmentation problem.
- Foreground accuracy: The ratio of **non-background pixels** that are correctly classified in the semantic segmentation problem.



- F1-score: The weighted F1-score in the emotion recognition problem.
- Throughput: The network resource consumption for transmitting model parameters and learning targets.
- CPU time: The time taken by each client in a round.

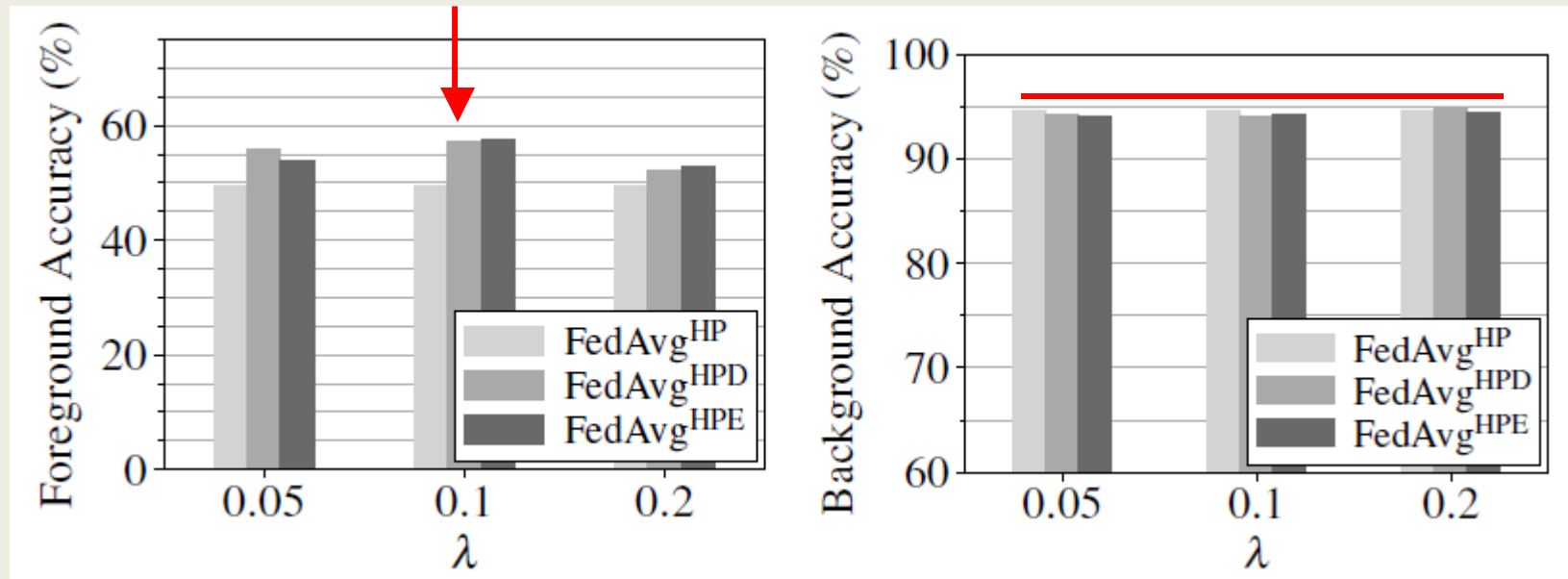
# EXPERIMENT RESULTS

# MFNet: $\alpha = 0.5$ is a Better Choice



- Smaller  $\alpha$  values lead to **slightly higher** foreground accuracy but **much lower** background accuracy
- Omit the  $\alpha = 0.0$  experiments in MFNet
- $\alpha = 0.5$  gives 57.59% on foreground accuracy and 94.27% on background accuracy

# MFNet: $\lambda = 0.1$ is a Better Choice



- $\lambda = 0.1$  results in the highest foreground accuracy
- FedAvg<sup>HPD</sup> and FedAvg<sup>HPE</sup> achieve higher (7.51%, 7.99%) foreground accuracy than FedAvg<sup>HP</sup>
- Select  $\lambda = 0.1$  in the following MFNet experiments

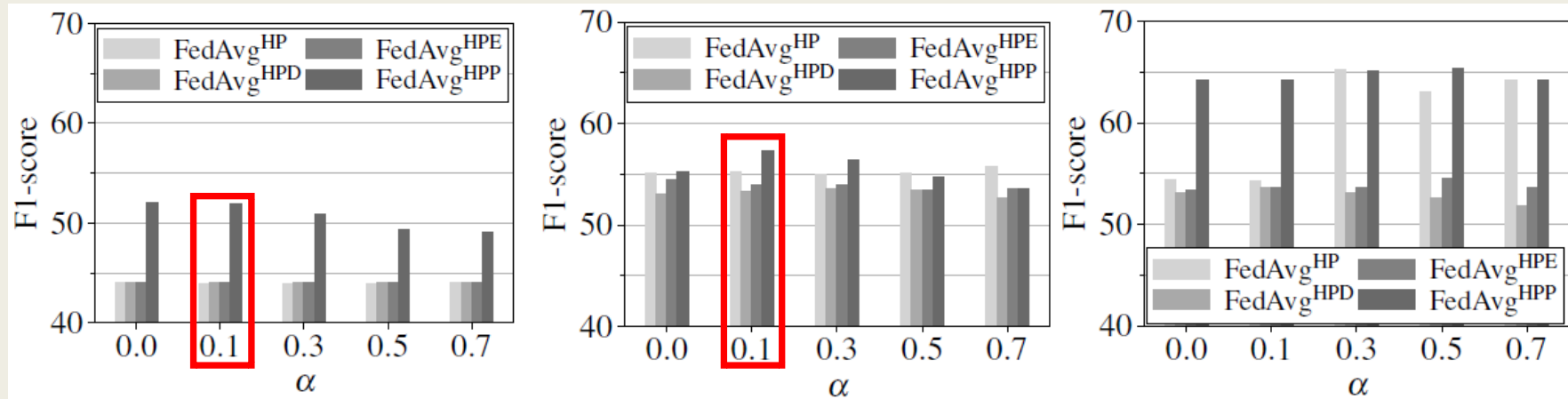
# MFNet: FedAvg<sup>HPE</sup> Leads to Lower Communication Overhead

	Method	Model		Insensitive data		Learning target	
MFNet	HP	5.96 MB	96.62%	6.25 MB	3.38%	/	/
	HPD		36.86%		1.29%	10 MB	61.85%
	HPE		94.05%		3.29%	169 KB	2.67%

- The percentage represents the proportion of overhead during training
- FedAvg<sup>HPD</sup> consumes **61.85%** communication overhead on transmit learning target
- Select **FedAvg<sup>HPE</sup>** in the following MFNet experiments

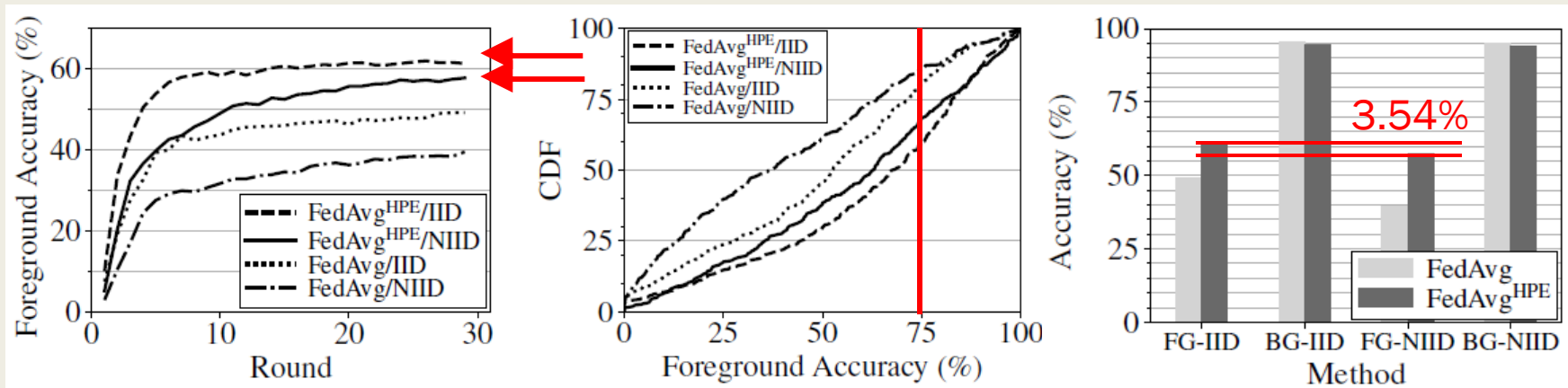


# LMF: $\alpha = 0.1$ and FedAvg<sup>HPP</sup> Leads Higher F1-score



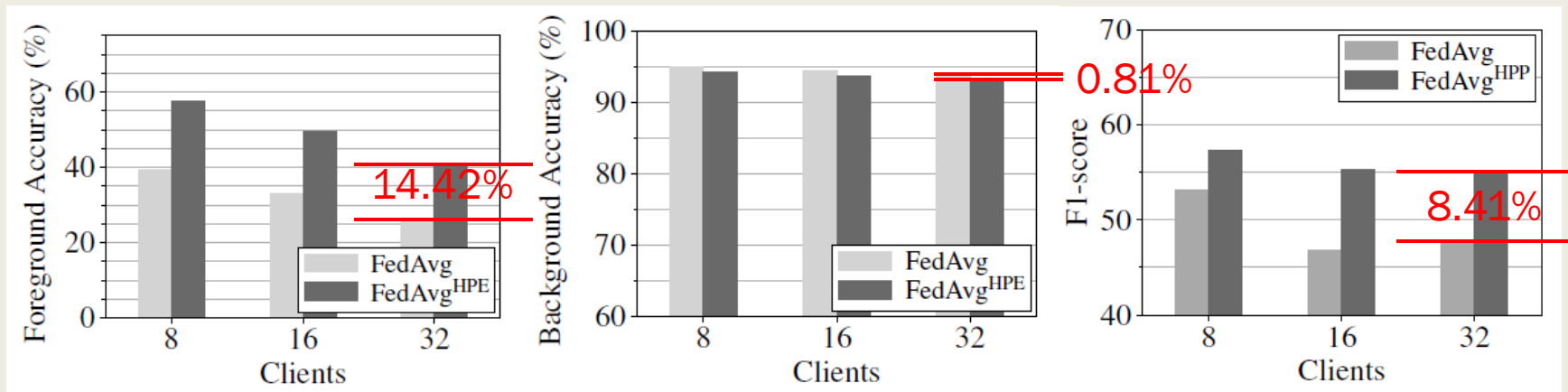
- Omit the experiments of  $\lambda$ , which has little effect on results
- In two bias sample distributions (0.1, 1),  $\alpha = 0.1$  outperform other  $\alpha$  values
- Select  $\alpha = 0.1$  and FedAvg<sup>HPP</sup> in the following LMF experiments

# MFNet: FedAvg<sup>HPE</sup> Works Under both i.i.d. and Non-i.i.d.



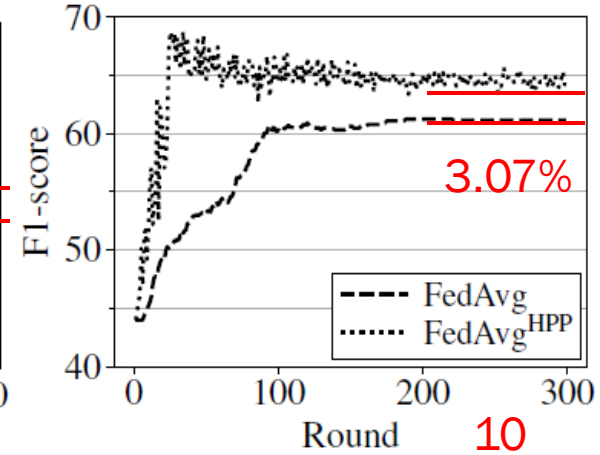
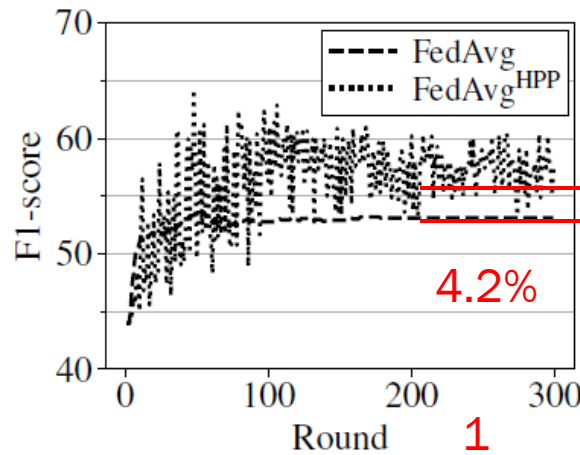
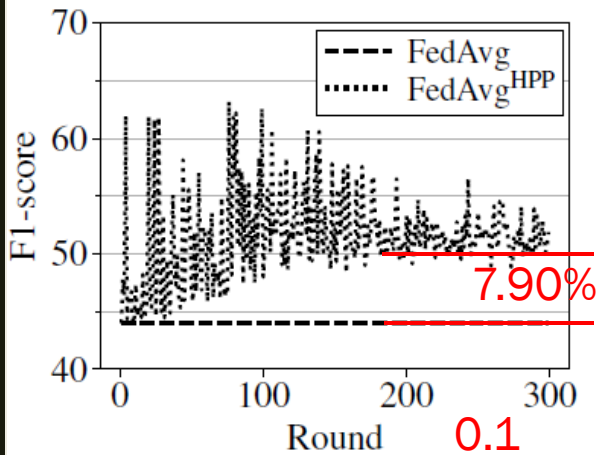
- FedAvg<sup>HPE</sup> achieves higher foreground accuracy under two sample distributions
- FedAvg<sup>HPE</sup> results **40.05%**, **37.34%** test samples with 75%+ foreground accuracy under two sample distributions
- FedAvg<sup>HPE</sup> only loss **3.54%** foreground accuracy from i.i.d. to non-i.i.d.

# MFNet, LMF: FedAvg<sup>HPE/P</sup> Works with Different No. Clients

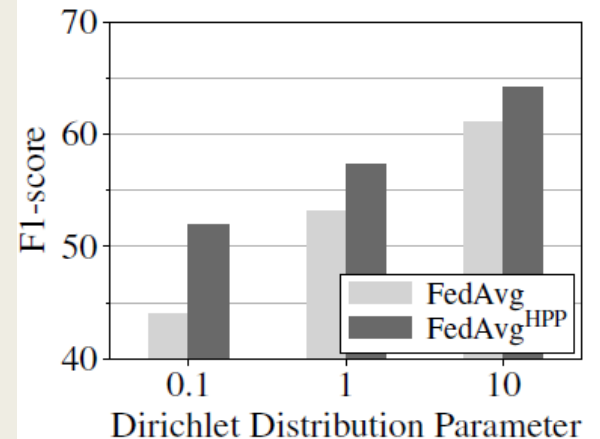


- FedAvg<sup>HPE</sup> outperforms FedAvg by at least **14.42%** on foreground accuracy (MFNet)
- The background accuracy loss is negligible **0.81%**
- FedAvg<sup>HPP</sup> outperforms FedAvg **8.41%** on F1-score (LMF)
- HPFL improves the **robustness** with more clients

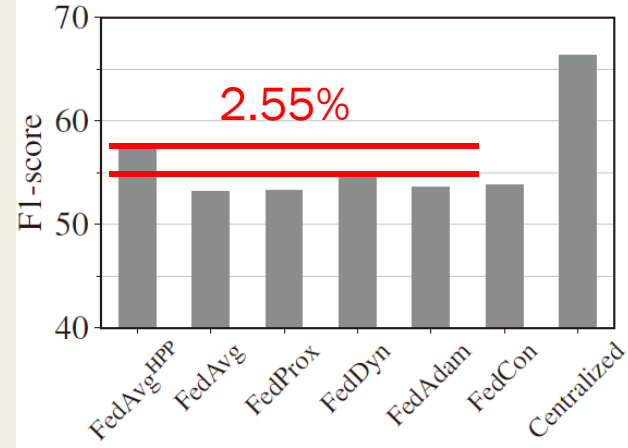
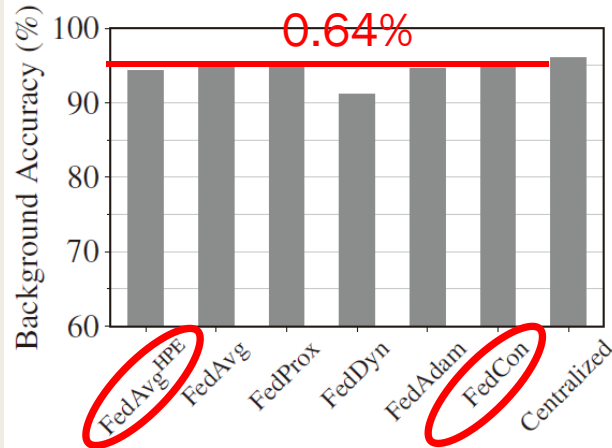
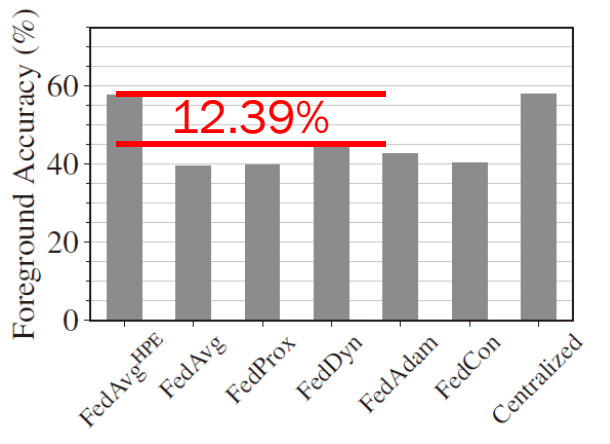
# LMF: FedAvg<sup>HPP</sup> Works with Different non-i.i.d. Deg.



- FedAvg<sup>HPP</sup> outperforms FedAvg 3.07% ~ 7.9% on F1-score
- Select the **hardest distribution 1** in the following experiments



# HPFL Outperforms Other Advanced FL Algorithms



- FedAvg<sup>HPE</sup> outperforms **all** state-of-the-art advanced FL algorithms at least **12.39%** on foreground accuracy (MFNet)
- FedAvg<sup>HPE</sup> losses negligible **0.64%** on background accuracy (MFNet)
- FedAvg<sup>HPE</sup> also outperform **all** state-of-the-art advanced FL algorithms by **2.55%** on F1-score (LMF)

# Qualitative Results



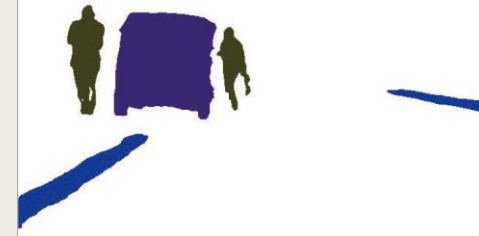
FedAvg



HPFL



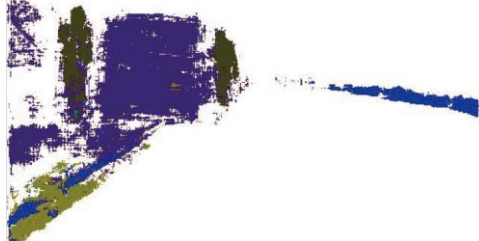
Ground Truth



Centralized



FedProx



FedDyn



FedAdam



FedCon

# HPFL Applies on Advanced FL Algorithms

Algorithms and Models	FedAvg		FedProx		FedDyn		FedAdam		FedCon	
	Orig.	HPFL	Orig.	HPFL	Orig.	HPFL	Orig.	HPFL	Orig.	HPFL
MFNet (Fore. Accu.)	39.39	+18.2	39.89	+17.21	45.19	+12.98	42.63	-0.36	40.42	+14.25
LMF (F1-score)	53.12	+4.2	53.22	+3.54	54.78	-0.81	53.62	+1.42	53.75	+5.48

- Update the **client trainer** to implement FedProx, FedDyn, and FedCon
- Replace the **aggregator** with Adam to implement FedAdam
- Apply HPFL on most of advanced FL algorithms can obtain **performance improvement**

# HPFL Incurs Low Communication and Computation Overhead

	Methods	Model		Insensitive data		Learning target	
MFNet	HP	5.96 MB	96.62%	6.25 MB	3.38%	/	/
	HPD		36.86%		1.29%	10 MB	61.85%
	HPE		94.05%		3.29%	169 KB	2.67%
LMF	HP	1.07 MB	99.87%	413 KB	0.13%	/	/
	HPP		99.85%		0.13%	0.26 KB	0.02%

Methods	HPFL	FedAvg	FedAdam	FedProx	FedDyn	FedCon
Computation Overhead*	100%	100%	100%	114%	124%	120%

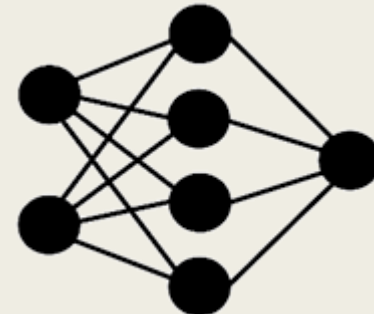
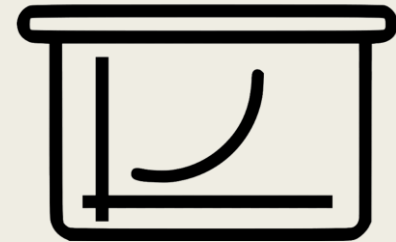


# Conclusion

- First considered multi-sensor classification problems in the FL setup, where sensor data have **diverse privacy sensitivity levels**
- Proposed HPFL algorithm to utilize privacy-insensitive data at server-side for reduce the performance gap between FL and centralized ML
- Conducted an extensive comparison of HPFL and other state-of-the-art advanced FL algorithms:
  - *HPFL causes no client-side computation overhead and little communication overhead*

# Future Work

- Optimization on communication and performance
- Convergence and privacy analysis
- Support complex model structure



# Publication and Cooperators

Y. Chen, C. Hsu, C. Tsai, and C. Hsu, “HPFL: Federated Learning by Fusing Multiple Sensor Modalities with Heterogeneous Privacy Sensitivity Levels” ACM Multimedia, October 2022, Submitted to ACM.

Y. Wu, Y. Chen, S. Shirmohammadi, and C. Hsu, “AI-Assisted Food Intake Activity Recognition Using 3D mmWave Radars” ACM International Workshop on Multimedia Assisted Dietary Management, October 2022, In-Preparing submission.

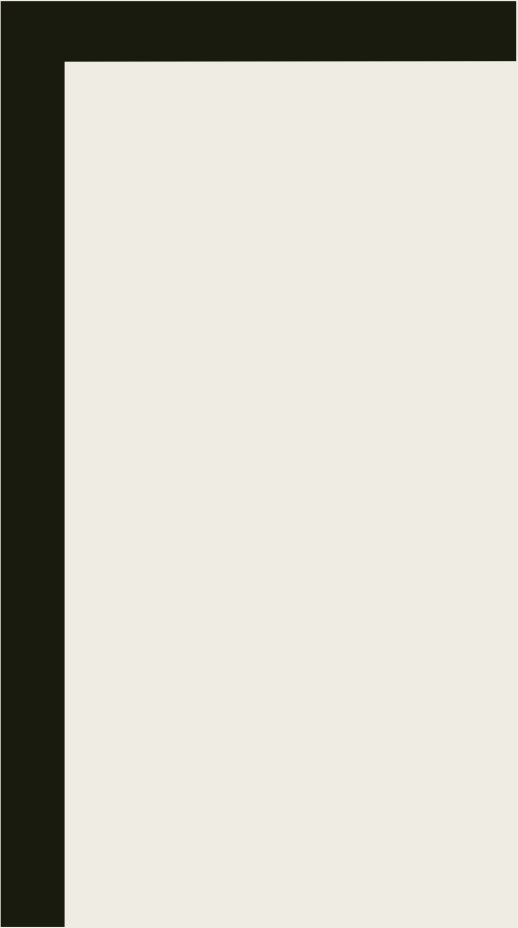
Y. Wu, Y. Chen, and C. Hsu, “Heterogeneous Privacy Distributed Learning: Collaborative Concept and Applications” IEEE Transactions on Multimedia, 2022, In-Preparing submission.

Chih-Fan Hsu, National Yang Ming Chiao Tung University

Chung-Chi Tsai, Qualcomm Inc.

Shervin Shirmohammadi, University of Ottawa

## Thanks for Your Listening


$$Q \neq A$$



# BACKUP SLIDES



# Limitation

- Dataset
  - *HPFL can only work on **multi-modal** dataset and application*
- Distillation model generation
  - *All performance gain comes from **distillation model***
  - *No obvious standard to generate distillation model*
  - *Hard to generate distillation from **complex** model structure*
- System optimization
  - *HPFL introduces extra tunable parameters*
  - *Client and server-side optimization are **inferencing each one***

# Support more Complex Multi-modal Network Structures

- We only consider the popular [joint representation](#) structures in our experiments
- Generating distillation model from complex models is challenging for HPFL
- We will select more representative multi-modal structures, and apply HPFL on them
- We will propose a generalize rules about applying HPFL on multi-modal model and selecting HPD, HPE, and HPP



# Optimization on Communications and Computations

- HPFL system requires a powerful server
- The insensitive data and learning target transmit may cause [network congestion](#)
- The HPFL server requires about 6 times of training time than clients
- We will develop a efficient learning target and insensitive data sharing algorithm under limited network resource
- We will consider more efficiency training scenario

# Deeper Privacy Analysis

- Privacy leakage risk from: [insensitive data](#), [learning target](#), model parameters
- Multi-modal machine translation may break the gap between sensitive and insensitive data (depth, thermal images...)
- We will provide completely privacy analysis and apply some privacy-prevention technology (DP) on HPFL
- We will explore the performance impact of these privacy-preserving technologies on HPFL

# Convergence Analysis

- Target function:

$$\lim_{t \rightarrow \infty} \frac{1}{t} \sum_{t=1}^{\infty} |L_S(\mathbf{D}_E, Y | \mathbf{M}_{S,t}) - L_S(\mathbf{D}_E, Y | \mathbf{M}_S^*)| = 0, \text{ where}$$

$\mathbf{M}_{S,t}$  comes from Eq. 4.4,

$\mathbf{M}_S^*$  is the optimal server model, and

$$L_S(\mathbf{D}_E, Y | \mathbf{M}_{S,t}) = \frac{1}{|\mathbf{D}_E|} \sum_{i=1}^{|\mathbf{D}_E|} CE(\mathbf{M}_{S,t}(\mathbf{D}_{E,i}), Y_i).$$

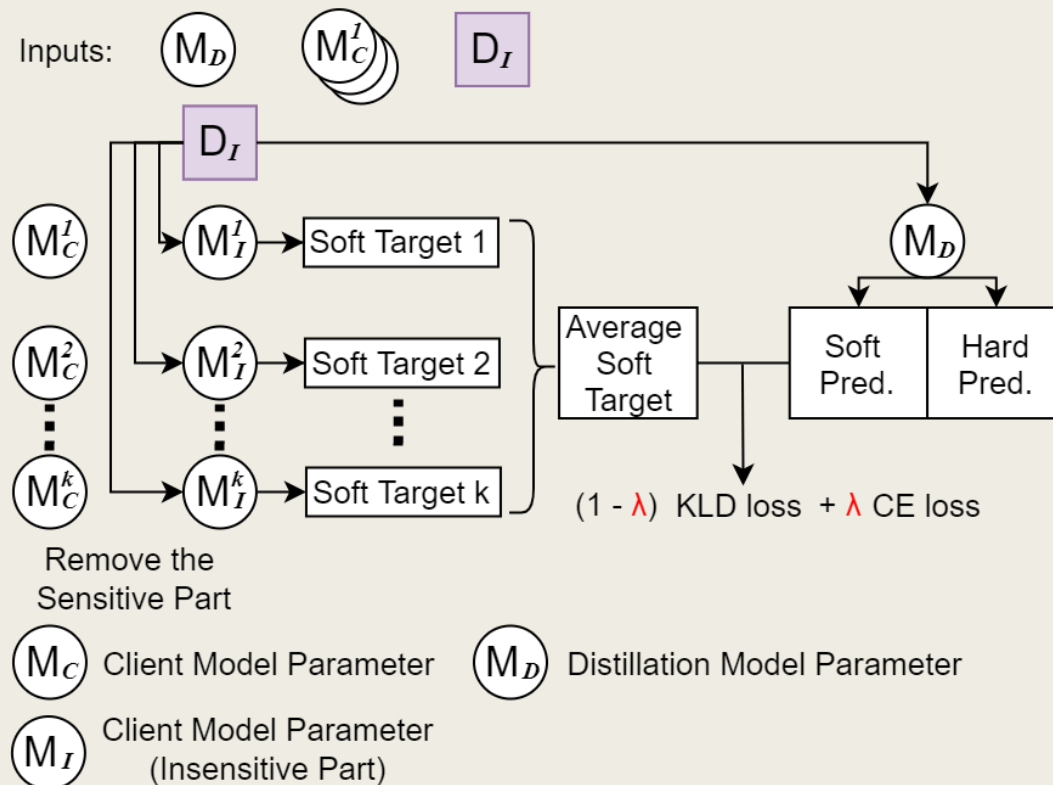
- Merger:  $\mathbf{M}_{S,t+1} = \alpha \times \mathbf{M}_{S,t} + (1 - \alpha) \times \mathbf{M}_{D,t+1},$  (4.4)

- Difficulties

- The merge part (distillation model) is various in different models
- No such reference work did the similar prove
- Hybrid Federated and Centralized Learning [2] merges the same client and server model

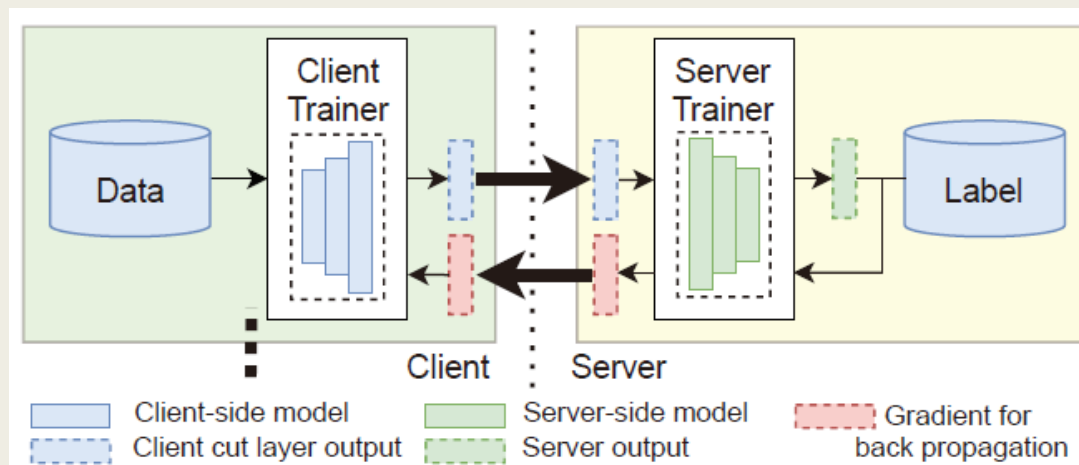
# Server-side Distillation Method

- Apply learning target (HPD, HPE) has little performance improvement (MFNet, LMF)



# Generalization for Split Learning

- Split learning (SL) significantly reduces client computing overhead
- Similar but different to SL, HPFL cut the model based on diverse privacy-sensitive levels
- We target to **distributed train sensitive data** at client-side and **centralized train insensitive data** at server-side



# Full Algorithm

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**Algorithm 1** The Proposed HPFL Procedure

---

- 1: Initialize  $\mathbf{M}_{S,0}$  with random parameters
  - 2: **for** client  $k = 1, 2, \dots, K$  (**in parallel**) **do**
  - 3:     Upload insensitive data  $\mathbf{D}_I^k$  to the server
  - 4: **for** each round  $t = 1, 2, \dots$  **do**
  - 5:     **for** client  $k = 1, 2, \dots, K$  (**in parallel**) **do**
  - 6:         Receive  $\mathbf{M}_{S,t-1}$  from server
  - 7:         Compute  $\mathbf{M}_{C,t}^k, \mathbf{T}_t^k$  using Eq. (1) // Client trainer
  - 8:     Compute  $\mathbf{M}_{S,t}, \mathbf{T}_t$  using Eq. (2) // Aggregator
  - 9:     Initialize  $\mathbf{M}_{D,t}$  using Eq. (3) // Initializer
  - 10:     Compute  $\mathbf{M}_{D,t}$  using Eq. (4) // Server trainer
  - 11:     Compute  $\mathbf{M}_{S,t}$  using Eq. (5) // Merger
  - 12:     Break **if**  $\mathbf{M}_{S,t}$  converges
-